Date

Addressed to DDP

Kind Attention: DDP Division

**Sub: Submission of Legal Entity & Beneficial Owners / Senior Management Official details in line with Securities and Exchange Board of India (SEBI) email advisory dated 03 February 2023 (“SEBI’s advisory”) and Prevention of Money Laundering (PML) Amendment Rules dated 07 March 2023 (“PML Rules”).**

1. **LEGAL ENTITY DETAILS *<Please select any one as applicable>***

Pursuant to the above, we understand that, the clients of reporting entities (i.e. the DDPs/Custodians) shall be natural persons or legal entities. Accordingly, we, ***<name of the legal entity>*\_\_\_\_\_\_\_\_\_**, an entity incorporated / established under a **corporate / trust / partnership / association of persons** ***<strike off which is not applicable>*** structure are the legal entity and hereby confirm that we are –

1. 🞏 duly registered as an FPI ***<<FPI Registration No.>>*** in India with no underlying sub-fund / fund / branch registered as FPIs.

**OR**

1. 🞏 duly registered as an FPI ***<<FPI Registration No.>>*** in India and have obtained multiple FPI registration(s) mentioning name of the branch/ fund/ sub-fund for each such registration as provided in below table.

**OR**

1. 🞏 not registered as an FPI and have obtained multiple FPI registration(s) mentioning name of the branch/fund/ sub-fund etc. for each such registration as provided in below table. We request you to kindly consider the enclosed KYC form (refer **Annexure A**) and supporting documents for the purpose of your KYC.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **No.** | **Name of FPI(s)** | **Registration No.** | **Public Retail Fund? (Yes / No)** | **FPI Category** |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |

1. **BENEFICIAL OWNER (BO) / SENIOR MANAGEMENT OFFICIAL (SMO) DETAILS *<Please select any one as applicable>***
2. 🞏 We would like to submit that the details of the BO(s) / SMO(s) for the above mentioned FPI(s) are already in line with SEBI’s advisory and PML Rules. There is no change in the details already submitted.

**OR**

1. 🞏 In line with SEBI’s advisory and PML Rules, please refer revised the BO(s) / SMO(s) details for ourselves and / or each of the above mentioned FPIs in the separate Annexure (refer **Annexure B**) for your kind consideration.
2. **GROUPING DETAILS *<Please select any one as applicable>***
3. 🞏 We have assessed the changes in the beneficial ownership of the FPI and confirm that there is no change to our existing investor group information owing updated information submitted via this letter in line with SEBI advisory along with extant regulatory guidelines.

**OR**

1. 🞏 We have assessed the changes in the beneficial ownership of the FPI and confirm that there is a change to our existing investor group information and we enclose (refer **Annexure C**) herewith the revised investor grouping details in line with SEBI’s advisory and extant regulatory guidelines. We request you to take the same on your records.

**For: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_*<Name of the legal entity>***

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Authorized Signatory

***<Name of the Signatory>***